

COPY

 PTO/DSS/SA (1-81)
 Approved for use through 12/31/2005. Check SA-1000
 US Patent Trademark Office U.S. DEPARTMENT OF COMMERCE
 Under the Espionage Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Subsidiary Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
 (Use as many sheets as necessary)

Complete if known

Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 5

Attorney Docket No: 279.234US3

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
M	1,931,043	10/17/1933	Taylor, F. W.			03/05/1932
	US-2003/0077509 A1	04/24/2003	Probst, Joseph M., et al.	426	162	10/16/2002
	US-3,182,238	05/04/1965	Toder, E. H., et al.	317	260	02/05/1962
	US-3,643,168	02/15/1972	Manicki, Gert L.	325	459	07/07/1969
	US-3,723,926	03/27/1973	Thomas, Alan, et al.	335	268	03/22/1972
	US-3,742,938	07/03/1973	Stern, T. J.			
	US-3,777,570	12/11/1973	Thomas, Alan, et al.	73	398	03/22/1972
	US-3,826,143	07/30/1974	Thomas, Alan, et al.	73	398C	03/22/1972
	US-3,828,227	08/06/1974	Millard, et al.	317	230	04/09/1973
	US-3,859,574	01/07/1975	Brazier, R. L.	317	230	10/19/1973
	US-3,914,666	10/21/1975	Schmickl, H., et al.	317	261	03/28/1974
	US-3,938,228	02/17/1976	Kemkers, Pieter, et al.	29	25.42	04/25/1975
	US-3,993,508	11/23/1976	Erichman, Irving	29	623.2	08/20/1975
	US-4,047,790	09/13/1977	Carino, J. W.	339	220	01/07/1976
	US-4,086,148	04/25/1978	Badia, M.	204	33	11/19/1976
	US-4,088,108	05/09/1978	Hager, James H.	123	148CC	01/19/1976
	US-4,131,935	12/26/1978	Clement, W. J.	361	433	03/31/1977
	US-4,169,003	09/25/1979	Dangel, Phoenix N., et al.	156	179	11/17/1977
	US-4,232,099	11/04/1980	Sullivan, Charles I.	429	152	02/06/1976
	US-4,425,412	01/10/1984	Dittmann, John F., et al.	429	53	05/26/1982
	US-4,539,999	09/10/1985	Mans, et al.	128	696	07/22/1983
	US-4,553,304	11/19/1985	Fleuret, D.	29	25.42	05/31/1983
	US-4,571,662	02/18/1986	Conquest, A. R., et al.	361	306	06/08/1984
	US-4,604,260	08/05/1986	Shimizu, Shigeaki, et al.	419	2	05/22/1985
	US-4,614,194	09/30/1986	Jones, W. K., et al.	128	419	01/20/1984
	US-4,616,655	10/14/1986	Weinberg, Alvin H., et al.	128	419	01/20/1984
	US-4,659,636	04/21/1987	Suzuki, Yoshie, et al.	429	54	11/22/1984
	US-4,664,116	05/12/1987	Shaya, et al.	128	419	04/18/1984
	US-4,683,516	07/28/1987	Miller, J. R.	361	328	08/08/1986
	US-4,745,039	05/17/1988	Yoshinaka, Minoru	429	54	11/17/1986
V M	US-4,763,229	08/09/1988	Ohtuka, Tatsuo, et al.	361	433	12/23/1987

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered; whether or not citation is in conformance with USC 102. Drawings through citation if not in conformance and not considered. Indicate copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language translation is attached.

Substitute for form 1449A-PFO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Under the Espionage Restriction Act of 1950, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Approved for use through 12/31/2009. GSA GEN. REG. NO. 27
48 Pages & Transmittal Office U.S. DEPARTMENT OF COMMERCE
#10-25959A (1-01)

Complete if Known

Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 2 of 5

Attorney Docket No: 279.234US3

my	US-4,782,340	11/01/1988	Czubatyj, Wolodymyr et al.	340	825.83 0	06/22/1986
	US-4,796,638	01/10/1989	Sasaki, M.	2		
	US-4,833,719	05/23/1989	Carme, Christian E., et al.	381	72	03/06/1987
	US-4,843,518	06/27/1989	Okumura,	361	330	03/22/1988
	US-4,970,626	11/13/1990	Kakinoki, Yoshiaki, et al.	361	512	07/28/1988
	US-5,131,388	07/21/1992	Pless, B. D., et al.	128	419 D	03/14/1991
	US-5,142,439	08/01/1992	Huggett, et al.	361	321	
	US-5,173,375	12/22/1992	Cretzmeyer, John W., et al.	429	72	12/14/1990
	US-5,175,067	12/29/1992	Taylor, William J., et al.	429	181	11/09/1990
	US-5,195,019	03/01/1993	Hertz,	694	328	
	US-5,279,029	01/18/1994	Burns, C. D.	29	856	05/11/1993
	US-5,306,581	04/26/1994	Taylor, William J., et al.	429	181	04/08/1992
	US-5,367,437	11/01/1994	Anderson,	361	807	
	US-5,369,647	11/29/1994	Evans, D. A.	361	516	03/22/1993
	US-5,414,588	05/09/1995	Barbee Jr., T. W., et al.	361	304	09/20/1993
	US-5,422,200	06/06/1995	Hope, Stephen F., et al.	429	157	07/27/1994
	US-5,428,499	06/01/1995	Szerlip, et al.	361	328	
	US-5,439,760	06/08/1995	Howard, W. G., et al.	429	94	11/19/1993
	US-5,448,997	09/12/1995	Kruse, J. M., et al.	128	697	10/15/1993
	US-5,469,325	11/21/1995	Evans, D. A.	361	526	07/29/1994
	US-5,471,087	11/28/1995	Buerger, Jr., Waller R.	257	532	01/17/1995
	US-5,493,259	11/01/1994	Bialock, et al.	333	182	
	US-5,493,471	02/01/1996	Walther, et al.	361	328	
	US-5,507,966	04/16/1996	Liu, Y.	252	62.2	03/22/1995
	US-5,522,851	06/04/1996	Fayram, T. A.	607	5	12/06/1994
	US-5,527,346	06/18/1996	Kroll, M. W.	607	5	11/21/1994
	US-5,554,178	09/10/1996	Dahl, R. W., et al.	607	122	04/26/1995
	US-5,559,667	09/24/1996	Evans, D. A.	361	526	08/11/1995
	US-5,584,890	12/17/1996	MacFarlane, D. R., et al.	29	25.03	01/24/1995
	US-5,628,801	05/13/1997	MacFarlane, D. R., et al.	29	25.03	05/02/1994
	US-5,640,756	06/24/1997	Brown, R. L., et al.	29	701	02/08/1995
	US-5,645,586	07/08/1997	Meltzer, M. J.	623	11	07/08/1994
my	US-5,658,319	08/19/1997	Kroll, M. W.	607	7	05/13/1996

EXAMINER

mpad

DATE CONSIDERED

7/10/04

Signatures Disclosure Statement Form (PFD-1449)
* EXAMINER: initial if reference considered, whether or not discussed in accordance with MPEP 806. Draw line through clause if not in conformity and not considered. Include copy of this form with next communication to applicant. * Applicant's unique citation designation number (optional) if Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/P10

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Under the Patent and Trademark Act of 1980, no person is required to respond to a solicitation of information unless it identifies a specific patent or trademark.

Approved for use through 10/31/2000. (2000-01-01)
US Patent & Trademark Office, U.S. Department of Commerce

Complete if Known:	
Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No: 279.234US3	

Sheet 3 of 5

US-5,660,737	08/26/1997	Elias, W. H., et al.	216	6	05/17/1995
US-5,691,079	11/25/1997	Daugaard, Jorgen	429	152	07/07/1995
US-5,716,729	02/10/1998	Sunderland, W. C., et al.	429	66	04/26/1996
US-5,737,181	04/07/1998	Evans, D. A.	361	504	04/22/1996
US-5,738,104	04/14/1998	Lo, Thomas Y., et al.	128	706	11/08/1995
US-5,754,394	05/19/1998	Evans, D. A., et al.	361	516	11/04/1996
US-5,759,394	06/02/1998	Rohrbach, Ronald P., et al.	210	264	11/27/1996
US-5,774,261	06/30/1998	Omori, Shigeru, et al.	359	464	09/23/1994
US-5,776,632	07/07/1998	Honegger, Allen	429	185	10/03/1996
US-5,779,699	07/14/1998	Lipson, David	606	41	03/29/1996
US-5,779,891	07/14/1998	Andelman, Marc D.	210	198.2	04/15/1997
US-5,790,368	08/04/1998	Naito, Yasuyuki, et al.	361	523	06/26/1996
US-5,800,724	09/01/1998	Habeger, et al.	216	35	01/16/1997
US-5,801,917	09/01/1998	Elias, W. H.	361	535	06/03/1996
US-5,811,206	09/22/1998	Sunderland, Walter C., et al.	429	181	10/31/1997
US-5,814,082	09/29/1998	Fayram, T. A., et al.	607	5	04/23/1997
US-5,855,995	01/05/1999	Haq, S. F., et al.	428	210	04/28/1997
US-5,867,363	02/02/1999	Tsai, K. C., et al.	361	502	03/30/1994
US-5,882,362	03/16/1999	Muffoletto, Barry C., et al.	29	623.1	04/27/1998
US-5,908,151	06/01/1999	Elias, W. H.	228	110.1	09/29/1997
US-5,922,215	07/13/1999	Pless, B. D., et al.	216	6	10/15/1996
US-5,926,357	07/20/1999	Elias, W. H., et al.	361	302	06/16/1997
US-5,930,109	07/27/1999	Fishier, M. G.	361	508	11/07/1997
US-5,963,418	10/05/1999	Greenwood Jr., J. M., et al.	361	508	03/18/1998
US-5,968,210	10/19/1999	Strange, T. F., et al.	29	25.03	11/12/1997
US-5,973,906	10/26/1999	Stevenson, Robert A., et al.	361	302	03/17/1998
US-5,982,609	11/09/1999	Evans, D. A.	361	516	04/01/1998
US-5,983,472	11/16/1999	Fayram, T. A., et al.	29	25.42	11/12/1997
US-6,002,969	12/14/1999	Machek, et al.	607	122	08/05/1998
US-6,004,692	12/21/1999	Muffoletto, Barry C., et al.	429	163	04/27/1998
US-6,006,133	12/21/1999	Lessar, J. F., et al.	607	5	06/24/1998
US-6,009,348	12/28/1999	Rorvick, A. W., et al.	607	5	06/24/1998
US-6,030,480	02/29/2000	Face, Jr., S. A., et al.	156	160	07/21/1998
US-6,032,075	02/29/2000	Pignato, P. A., et al.	607	5	06/24/1998
US-6,040,082	03/21/2000	Haas, D. P., et al.	429	163	07/30/1997

EXAMINER

M. P. Pashley

DATE CONSIDERED

7/10/00

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 802. Draw the bracket citation if not in conformance and not considered. Indicate copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language translation is attached

Substitute for Form 1485A-PPTD

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

PTO/USMMA-0-001
Approved for use through 12/31/2002. OMB 051-0021
US Patent & Trademark Office U.S. DEPT. OF COMMERCE

Completeness of Known	
Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 4 of 5

Attorney Docket No: 279.234US3

AL	US-6,042,624	03/28/2000	Breyer, M. D., et al.	29	25.03	06/23/1998
	US-6,052,625	04/18/2000	Marshall, Mark T.	607	122	11/09/1998
	US-6,094,339	07/25/2000	Evans, David A.	361	516	12/04/1998
	US-6,094,788	08/01/2000	Farahmandi, C. J., et al.	25	24.41	01/19/1999
	US-6,099,600	08/08/2000	Yan, J., et al.	29	25.03	06/24/1998
	US-6,104,961	08/15/2000	Conger, Steven, et al.	607	122	02/18/1999
	US-6,110,233	08/29/2000	O'Phelan, Michael J., et al.	29	25.03	05/11/1998
	US-6,110,321	08/29/2000	Day, J., et al.	156	286	02/28/1997
	US-6,118,651	09/12/2000	Mehrotra, V., et al.	361	509	12/24/1997
	US-6,118,652	09/12/2000	Casby, K. J., et al.	361	517	06/24/1998
	US-6,139,986	10/31/2000	Kurokawa, Teruhisa, et al.	429	61	06/16/1998
	US-6,141,205	10/31/2000	Nutzman, T. M., et al.	361	509	06/24/1998
	US-6,157,531	12/05/2000	Breyer, M. D., et al.	361	519	06/24/1998
	US-6,162,264	12/19/2000	Miyazaki, Yulchi, et al.	29	623.5	06/16/1997
	US-6,184,160	02/06/2001	Yan, J., et al.	438	800	11/19/1999
	US-6,191,931	02/20/2001	Paspa, P., et al.	361	302	08/28/1998
	US-6,212,063	04/03/2001	Johnson, William L., et al.	361	517	06/24/1998
	US-6,225,778	05/01/2001	Hayama, Hideki, et al.	320	112	07/18/2000
	US-6,249,423	08/19/2001	O'Phelan, M. J., et al.	361	502	04/21/1998
	US-6,249,709	08/19/2001	Conger, Steven, et al.	607	122	02/18/1999
	US-6,256,542	07/03/2001	Marshall, Mark T., et al.	607	126	02/22/2000
	US-6,259,954	07/10/2001	Conger, Steve, et al.	607	122	02/18/1999
	US-6,275,371	08/14/2001	Yoshio, Takasu, et al.	361	502	08/11/1999
	US-6,275,729	08/14/2001	O'Phelan, Michael J., et al.	607	5	10/02/1998
	US-6,297,943	10/02/2001	Carson, Dean F.	361	500	03/19/1999
	US-6,299,752	10/09/2001	Strange, T. F., et al.	205	152	03/10/1999
	US-6,321,114	11/20/2001	Nutzman, Thomas M., et al.	607	5	07/22/1999
	US-6,326,587	12/04/2001	Cardineau, Yan, et al.	219	121.68	07/30/1999
AL	US-6,388,284	05/14/2002	Rhodes, Howard, et al.	257	309	01/03/2001

EXAMINER

MP

DATE CONSIDERED

7/10/01

Substitute Disclosure Statement Form (PPTD-1449)

* EXAMINER: Initial or reference identified, whether or not identified in correspondence with USPTO. Since live through initial or reference and not identified, include any of this form with next communication to applicant. Applicant's unique citation designation number (optional) Applicant is to place a check mark here if English language Translation is attached

Substitute for Form 1449A-PFO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Under the Patent Reform Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/USPM 10-01
Approved for use through 10/31/2002 Call 800-451-5231
US Patent and Trademark Office 312, DEPARTMENT OF COMMERCE

Complete if Known

Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 5 of 5

Attorney Docket No: 279.234US3

M	US-6,388,866	05/14/2002	Rorvick, Anthony, et al.	361	503	06/30/2000
M	US-6,402,793	06/11/2002	Miltich, Thomas, et al.	29	25.03	06/30/2000
	US-6,413,283	07/01/2002	Day, et al.	29	25.3	
	US-6,442,015	08/27/2002	Niiori, Y., et al.	361	502	12/29/1999
	US-6,477,037	11/05/2002	Nielsen, Christian S., et al.	361	520	06/30/2000
	US-6,477,404	11/05/2002	Yonce, David J., et al.	600	510	03/01/2000
	US-6,493,212	12/10/2002	Clarke, Michael E., et al.	361	521	06/30/2000
	US-6,509,588	01/21/2003	O'Phelan, Michael J., et al.	257	209	01/01/2003
	US-6,522,525	02/18/2003	O'Phelan, Michael J., et al.	361	509	11/03/2000
	US-6,571,126	05/27/2003	O'Phelan, Michael J., et al.	607	5	11/03/2000
V	US-200,170,020,319	09/13/2001	Farahmandi, C. J., et al.	29	25.03	02/13/2001

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
M	WO-00/19470	04/06/2000	O'Phelan, M., et al.	H01 G	9/056	
M	WO-98/27562	06/25/1998	Benz, Michael E., et al.	H01 G	4/18	
M	WO-99/51302	10/14/1999	Pignato, et al.	A61N	1/375	
V	WO-WO 00/19470	04/06/2000	O'Phelan, Michael J.			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ²	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
M		MOYNIHAN, J. D., "Theory, Design and Application of Electrolytic Capacitors", Copyright by John D. Moynihan, (1982), 136 p.	
M		SHAMS, A. M., et al., "Titanium hydride formation from Arabian Gulf water", Desalination, Vol. 107, (1996) 265-276	

EXAMINER

MP

DATE CONSIDERED

7/10/02

* EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 502. Once the length citation is not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique station designation number (optional) Applicant is to place a check mark here if English language Translation is attached

Substitute Disclosure Statement Form (PFO-1449)

COPY

In re Patent Application of: Michael J. O'Phelan et al.
Title: FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE
Attorney Docket No.: 279.234/US3

Receipt is hereby acknowledged for the following in ~~the~~ United States Patent and Trademark Office: DIV

CONTENTS: (DIVISIONAL): Specification (135 pgs.) including claims numbered 1, through 99 and a 1 page Abstract; Formal Drawings (79 sheets); Copy of Signed Combined Declaration and Power of Attorney (6 pgs.) from 1 for application; Check for payment of the amount of \$770.00 to pay the filing fee; Information Declaration Statement (2 pgs); Form 1449 (5 pgs); copies of 3 references NOT cited in prior application; Priority Agreement (5 pgs); A Communication Concerning Related Applications (2 pgs); return postcard and transmittal sheet.

15757 U.S. PTO
10/758677

EXPRESS MAIL LABEL NO. EV299684096US
Mailed: January 15, 2004
PCN/psn



EV299684096US